SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to	
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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			or Section So(n) of the investment Company Act of 1940			
1. Name and Address of Reporting Person [*] Johnson Edwin D			2. Issuer Name and Ticker or Trading Symbol <u>CASELLA WASTE SYSTEMS INC</u> [CWST]		tionship of Reporting Pe all applicable) Director Officer (give title below)	rson(s) to Issuer 10% Owner Other (specify below)
(Last) (First) (Middle) 25 GREENS HILL LANE			3. Date of Earliest Transaction (Month/Day/Year) 08/29/2019		President &	COO
C/O CASELLA WASTE SYSTEMS, INC.						
(Street) RUTLAND (City)	VT (State)	05701 (Zip)	 4. If Amendment, Date of Original Filed (Month/Day/Year) 09/03/2019 	6. Indiv Line) X	idual or Joint/Group Filir Form filed by One Rej Form filed by More the Person	porting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction I Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Class A Common Stock	08/29/2019		М		2,700	Α	\$5.71	341,544 ⁽²⁾	D	
Class A Common Stock	08/29/2019		S		2,700	D	\$46.5327(1)	338,844 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Employee Stock Option (right to buy)	\$5.71	08/29/2019		М			2,700 ⁽²⁾	12/31/2013	12/11/2023	Class A Common Stock	2,700	\$0	0	D	

Explanation of Responses:

1. Represents the weighted average sales price for shares sold in multiple transactions, ranging from \$46.50 to \$46.645. Upon request of the staff of the Securities and Exchange Commission, the issuer or a security holder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price.

2. This amendment is being filed to correct the amount of securities beneficially owned following the transactions reported in the Form 4 filed by the Reporting Person on September 3, 2019 (the "Original Form 4") and to correct that the derivative securities were disposed of and not acquired in connection with the exercise of the option reported in the Original Form 4.

<u>/s/ Edwin D. Johnson</u>

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date

09/06/2019